Functionalizable Nanomorphology Gradients via Colloidal Self-Assembly

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We present a novel approach for the fabrication of tailored nanomorphology gradients on metal oxide surfaces. We first show the direct formation of a nanocolloidal density gradient by a dip-coating process. The obtained silica nanoparticle gradients are then subjected to a heat treatment. Control of this sintering step allows the precise tailoring of the particle morphology on the surface. Both these processes together provide a new tool to form precise, tunable, and material-independent nanomorphology gradients.

Introduction

Surfaces with a continuously changing surface parameter (gradient surfaces) have distinct advantages for certain applications. Such surfaces, for example, allow rapid screening tests or combinatorial diagnostic studies to be performed on a single sample, which is of particular importance in biotechnology or medicine. The surface parameters of interest range from crystallinity to porosity and surface chemistry. Among surface-chemistry parameters that have been varied along gradients, wettability has been reported most frequently. In these gradients, the wettability changes gradually along the length of the sample because of a controlled change in surface chemistry. Various methods have been developed to produce such wettability gradients.1–6

Another parameter that plays a crucial role, especially in many bioscience applications, is surface morphology. It has been known for a long time that surface roughness affects the biological response to surfaces (e.g., cell adhesion, proliferation, and differentiation). Also, parameters related to surface-contact effects (such as tribological or adhesion phenomena) will be influenced by a varying surface topography. However, only little progress has been made in producing large-scale topographical gradients.7 Crystallinity gradients of a polymer film induced by a temperature-gradient stage have been described previously,1 as have gradients of pore size in silicon, fabricated by etching in an anisotropic electric field.2 Only very recently, an approach was presented by Kunzler et al. that allows the production of stochastic roughness gradients over centimeter length-scales with topographical features in the nanometer and micrometer range.7

A different approach to the production of surface morphology gradients is to capitalize on the self-assembly potential of colloidal particles. To date, the only particle-gradient structures produced have been colloidal crystals for use as photonic band gap materials. Most of these colloidal crystal gradients are fabricated by means of polymer-infiltration techniques, where a polymer with a gradually changing refractive index is forced into the interstices of a colloidal crystal.8,9 It was not until recently that the first colloidal crystal gradients were produced.10–12 An indirect way of producing a number-density gradient of colloidal particles was presented by Bhat et al. They decorated a molecular gradient (of amino groups) with colloidal gold particles to obtain nanocolloidal density gradients.13 A similar approach was also presented by the same group to produce gold nanoparticle density gradients in two orthogonal directions.14

In this article, an alternative way of producing a particle-density gradient on a surface using nanosized colloidal particles is presented. In a simple dip-coating process, a gradient in nanoparticle density is achieved directly on the substrate. The number density can be varied from a high-coverage, random monolayer at one end of the gradient to only a few particles per square micrometer on the other. Not only is this method very universal as far as substrate and particle materials are concerned, but we also show how the shape of the colloidal particles on the substrate can be varied over a wide range using a heat-treatment step. This heat-treatment step simultaneously increases the adhesion of the particles to the substrate, providing the necessary robustness for such particle gradients to be used in replication techniques or in experiments that require a robust morphology gradient (e.g., in cell biology experiments).

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Experimental Section

Colloidal Gradient Preparation. Negatively charged silica particles will readily adsorb onto a positively charged surface by electrostatic attraction. To provide such a positively charged surface, a thin film of poly(ethylene imine) (PEI) (a branched, cationic polyelectrolyte polymer,\textsuperscript{15} Sigma-Aldrich, Germany) was adsorbed onto a silicon wafer surface, previously cleaned by ultrasonication (10 min in isopropanol and then in Millipore water for another 10 min). The samples were then oxygen plasma treated at radio frequency (RF) level “Hi” (PDC-002, Harrick Plasma, Ithaca, United States) for 3 min. Cleaned samples were immersed in 1 mg/mL filtered PEI solution in ultrapure water for 30 min. After adsorption of the PEI electrolyte, the silicon wafer pieces were rinsed with ultrapure water, were blown dry in a nitrogen jet, and were stored in a closed container at room temperature. Measurements of PEI-coated particles exhibited a positive ζ-potential of around 30 mV at neutral pH, indicating that a PEI-coated surface is indeed positively charged at neutral pH values.

The nanoparticle gradients were produced using a suspension with silica particles with an average diameter of 73 nm (Klebosol, Claritant, France). The particle diameter was determined using scanning electron microscopy (SEM) and X-ray disc centrifuge methods. ζ-Potential experiments were conducted over a large pH range, and the surface charge (ζ-potential) was −60 mV at a pH of 7. The original, unfiltered 30 wt % stock suspension was homogenized for 5 min in an ultrasonic bath and was diluted to 1 wt % with ultrapure water. HEPES 1 (10 mM 4-(2-hydroxyethyl)piperazine-1-ethane-sulfonic acid, adjusted to pH 7.4 with 6 M NaOH) or HEPES 2 (HEPES 1 with 150 mM NaCl). This 1 wt % suspension was further diluted with ultrapure water, HEPES 1 or HEPES 2, to a final 0.002 wt % working suspension. Before use, the working suspension was homogenized again for 5 min in an ultrasonic bath. No ion exchange was performed prior to experiments.

The PEI-coated substrate was lowered into the colloidal suspension with a linear-motion drive (Owiss Stuafen, Germany). The immersion profile was set to \( x(t) = a(t - u)^2 \), where \( x(t) \) is the position on the gradient at time \( t \), which was running from 0 to 1800 s, \( a = -100 \) s (a parameter needed only for technical reasons), and \( u = 3 \times 10^{-6} \) m/s\(^2\). This setup results in the creation of a gradient over 10 mm after a total immersion time of 30 min. The suspension was kept slightly agitated during the procedure. Upon completion of the immersion process, the beaker containing the suspension was immediately flushed with about 1000 mL of distilled water to remove the particle suspension and to prevent further particles adsorbing onto the substrate. Afterward, the substrate was rinsed with ultrapure water and was blown dry with a nitrogen jet.

Sintering of Colloidal Gradients. Sintering of the gradient substrates was carried out in a high-temperature furnace under ambient atmosphere. Substrates were heated at 10 °C/min to final temperatures of either 1075, 1100, 1125, 1150, 1175, or 1200 °C, were held for 2 h, and then were cooled down at 1.5 °C/min to room temperature. To determine the “contact angles” shown in Figure 7, SEM images were analyzed using image analysis software (image J). The sintered gradient samples were tilted in the SEM to allow for a side view of the surface and to achieve a more reliable estimation of the contact angle.

Cell Culture. To achieve a more cell-compatible surface environment, the entire particle gradient was dipped into a PLL-g-PEG-RGD solution. The RGD-peptide sequence is end-grafted to a thin film of poly(ethylene imine) (PEI) and is known to promote cell attachment via integrin binding.\textsuperscript{19,20} Where particles “stick” to a surface and cannot be moved after adsorption. As a consequence, a jamming limit exists for the particle coverage, which is found to be 0.547.\textsuperscript{21} This means that the theoretical maximum surface coverage for the system used in this work may not exceed 54.7%. However, this value may, in reality, not be reached, since the particles are not only defined by their “physical” size, but also by the electric double layer around them. This double layer has a finite thickness, which must be added to the particle’s physical diameter. Thus, the longer ranging the electric double layer, the lower the particle surface coverage at the jamming limit. The thickness and the numeric value of the electric double layer can readily be influenced by pH and ionic strength.

Figure 1. Influence of colloid concentration on the adsorption behavior of 73 nm silica colloids buffered in HEPES 1 (10 mM salt concentration) at pH 7.4 on a poly(ethylene imine) coated silicon wafer observed by OWLS (optical waveguide light-mode spectroscopy). The negatively charged silica nano colloids adsorb electrostatically onto the positively charged PEI coated surface. OWLS is a surface-sensitive optical detection technique used to monitor adsorption processes in situ.

and the nucleus was stained with DAPI and the actin network with phalloidin as described by Kunzler et al.\textsuperscript{18}

Results and Discussion

Silica particles, 73 nm in diameter, adsorb onto a PEI-coated silica surface in a controllable way, as shown in Figure 1. Depending on the concentration of the colloidal particles in the suspension, a monolayer of particles adsorbs onto the PEI-coated silica surface very rapidly, over a time span of several minutes. With a particle concentration of more than 1 wt %, adsorption of a monolayer takes place in less than a minute. For dilute suspensions, say 0.01 wt %, adsorption requires in excess of 1 h to produce a complete monolayer. The extent of this adsorption process is proportional to \( \sqrt{t} \), as discussed later in this section.

The adsorption of colloidal particles by electrostatic interactions may be viewed as a one-step process, where a particle adsorbs electrostatically onto the substrate and may no longer be moved on the surface. Such processes are often referred to as irreversible “random sequential adsorption” (RSA),\textsuperscript{19,20} where particles “stick” to a surface and cannot be moved after adsorption. As a consequence, a jamming limit exists for the particle coverage, which is found to be 0.547.\textsuperscript{21} This means that the theoretical maximum surface coverage for the system used in this work may not exceed 54.7%. However, this value may, in reality, not be reached, since the particles are not only defined by their “physical” size, but also by the electric double layer around them. This double layer has a finite thickness, which must be added to the particle’s physical diameter. Thus, the longer ranging the electric double layer, the lower the particle surface coverage at the jamming limit. The thickness and the numeric value of the electric double layer can readily be influenced by pH and ionic strength.

Figure 2. A schematic representation of the RSA model is given and corresponding SEM images are shown to illustrate the situation in our real system. At the jamming limit (Figure 2b), no more particles (or disks) may be deposited without moving particles on the surface (some of the forbidden particle positions

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particles and free space on the surface) are artifacts from the drying used in this work, d, can be seen. These deviations (clustering of this image, deviations between the ideal situation in b and the system min), a complete RSA “monolayer” forms as shown in b. Also in clustering of a few particles. (d) After longer adsorption times (30 stage, some deviation of the RSA model can be observed, such as short times, a few particles have adsorbed on the surface. At this particles adsorbing on a positively charged PEI surface. (c) After particle density on the surface. (c, d) SEM images of 73 nm silica images illustrating two stages of the process. (a) After short times and ionic strength. These parameters were also tested in the Figure 2.

As mentioned above, two main parameters have long been identified to influence particle adsorption in cases where electrostatic interactions dominate the adsorption process: pH and ionic strength. These parameters were also tested in the silica nanoparticle—PEI system that was used in this work. It was found that particles near the isoelectric point (IEP) (at a pH of about 2.3), tend to agglomerate and form multilayers on the substrate, while with increasing pH, the particle concentration on the surface decreases because of the higher charge of the silica particles at higher pH values. Similar trends were found when lowering the ionic strength of the suspension from 160 mmol to a pure water suspension. Fewer and fewer particles adsorb to the positively charged surface because the electric double layer becomes decreasingly shielding as fewer ions are present in the suspension. This “shielding” effect of the double layer is often expressed by the Debye length, a parameter describing the “thickness” of the electric double layer. In our system, the Debye length is below 1 nm for a 160 mmol HEPES (pH 7.4 buffer) salt suspension (NaCl) and around 30 nm for a “pure water” suspension (we assume a minimum salt concentration of around 100 μmol for practical reasons (ions from stock solution, contamination from the air and handling, etc.))

Colloidal gradients were prepared by successively exposing a surface to a colloidal suspension with known properties for a specific time interval. If the surface and the particles interact electrostatically, particles will start to adsorb and form an incomplete particle layer, the number adsorbed depending on the time for which the particles were allowed to adsorb. This process is sketched in Figure 2, which (a) shows the situation after short adsorption times and (b) represents the jamming limit of the monolayer (which is reached after a longer time interval, depending on particle concentration).

The challenge to form a colloidal topographical gradient on a substrate can therefore be tackled by exposing different parts of the substrate for increasing times to a colloidal suspension. This is most conveniently done by simply dip-coating the substrate into the colloidal suspension at a distinct speed profile. By doing so, the end of the substrate that is immersed initially remains in the suspension much longer than the end of the substrate that is only immersed during the last part of the dipping process. Removing a sample from the suspension leads to the formation of a drying front, which both disturbs the colloidal structure itself and, more importantly, causes additional colloidal particles to be dragged to the three-phase contact line. In this case, particles are not only adsorbed by electrostatic interactions but also by capillary forces, an undesired and less controllable process. Therefore, the substrate sample has to be immersed into the nanocolloid suspension. In our experiments, the substrate was immersed about 5 mm into the suspension and was held there for 30 min. Only then was the sample immersed into the suspension at a given speed profile. Figure 3 shows a schematic of the situation prior to the start of the dip-coating process on the left and the situation at the end of the dip-coating process on the right. This procedure has two advantages: first, it minimizes the influence of edge effects that occur when a sample is immersed into the suspension. For example, a “jump” occurs when the sample first touches the suspension surface and contacts the
substrate: the water film wets the sample very rapidly to form the water meniscus as depicted schematically in Figure 3. If the sample were not immersed a few millimeters into the suspension prior to the start of the experiment, such edge effects may affect the formation of the particle gradient. Second, the area where the sample was initially immersed provides a useful reference region to subsequently determine the jamming limit of the particle suspension, since this area is maintained for a significant length of time in the suspension, and thus a RSA monolayer of particles was allowed to form.

Another critical point is the end of the dip-coating process. To avoid drying effects as much as possible, the sample was not removed through the suspension—air interface. At the end of the dip-coating process, when the sample is immersed completely into the suspension (right-hand side of Figure 3), the beaker with the suspension is carefully flushed with extensive amounts of water. The beaker overflows and the suspension is rapidly diluted. After the suspension is diluted so much that ideally no more particles are present, the sample is removed from the suspension, is rinsed with more water, and is dried under a nitrogen flow. By means of this process, the influence of capillary forces during the drying process can be minimized and only a few particles are allowed to adsorb during the drying step.

Capillary forces are of great importance in such systems and are comparable in magnitude with the attractive electrostatic interactions between particle and surface. The drying step must therefore be controlled as described above. It is not an easy task to assign numbers to the capillary and electrostatic interaction energies because of assumptions that are made in the existing models, which are not always fulfilled in real systems. Nevertheless, the attractive capillary interaction energy between two particles was calculated to be about $4.4 \times 10^6 \text{kT}$ for our system (on the basis of equations derived from refs 22–24). The electrostatic interaction energy between the surface (assuming a $\zeta$-potential of 100 mV) and a particle ($\zeta$-potential of $-65 \text{ mV}$, as measured) is around $10^2 \text{kT}$ (upon contact of the two, rapidly decaying if the separation distance is increased). This demonstrates that capillary forces are very strong compared to the electrostatic interaction energies. In fact, judging from the calculated values, they are several orders of magnitude higher than the electrostatic attraction of the particles to the surface. This is especially true if the particles are only separated by small distances. Capillary forces therefore gain in importance at high colloid densities on the surface, while they are relatively insignificant at low particle concentrations on the surface. These numbers also rationalize why very often in these experiments, doublets, triplets, or small clusters of particles are to be found on the surface instead of well-separated particles. As soon as two, three, or more particles are electrostatically adsorbed at relatively small separations, it is almost inevitable that these particles cluster together, since the capillary forces in these situations significantly exceed the electrostatic interactions.

Figure 4 shows an example of a colloidal gradient produced with 73 nm silica particles at a concentration of 0.002 wt % in pure water. An almost perfectly linear increase in particle concentration along the 1 cm gradient can be observed. Only a very few particles were adsorbed at one end, while almost a complete RSA-monolayer was formed on the other end. While there is virtually no visible influence of capillary forces on the low particle concentration images, clustering of particles increases as the concentration of particles on the surface increases. This behavior is consistent with the force estimations made above, since as soon as the interparticle distance decreases, capillary forces gain in importance and tend to influence the resulting pattern more strongly. The immersion profile used (see figure caption in Figure 4) has a second-order polynomial shape to account for the $\sqrt{t}$ dependence of the diffusion-controlled particle adsorption kinetics observed in Figure 1. The combination of a second-order polynomial immersion profile and the $\sqrt{t}$ dependence of the particle adsorption kinetics would be expected to lead to a linear particle gradient. Stirring of the suspension during the process has a distinct influence on the particle gradient formation (causing far more particles to adsorb, in comparison to static conditions). Without stirring, particle coverage on the surface reached no more than 5%, while in the case of the gradient experiments, more than 30% coverage was obtained.

In the real system, this linearization of the particle gradient proved to work rather successfully, as observed in Figure 5. Here, SEM images were analyzed and statistical examination of these images yielded the particle concentration as a function of the position on the gradient (see Figure 5). It is observed that the particle gradients evolve nearly linearly over the entire 1 cm gradient. The gradient can be expanded to 2 cm by simply adapting the parameters such that instead of 10 mm, 20 mm will be dip-coated during the 1800 s. The value for the highest particle

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there was no evidence of sintering and the particles remained in
still shows very linear behavior but in a narrower coverage range.
The end coverage was significantly lower (around 5%), but the gradient
concentration was 4 times lower than for the first three experiments,
position 0 cm) is slightly higher than expected from the other values
mark" indicated in Figure 3. This explains why this first point (at
The end coverage was measured in the area below the "immersion
was activated at temperatures around 1100 °C. Below 1075 °C,
double layer is taken into account, which must be added to the
surface atoms from the colloidal particle to the contact region
adlayer), surface charge distribution, and others, and thus, it is
not possible to attribute the adsorption process to a simple RSA-
model. Also, capillary forces disturb the pattern formation to
some extent near the jamming limit, such that statistical analysis
underestimates the true particle coverage.

Another convenient way of changing the morphology of the
produced particle gradients besides simply changing the particle
size is to expose the colloidal gradient to a heat-treatment
procedure. This will have two effects on the particle gradients.
For one, all organic components used during the production of
the gradient will be burned off; and second, the colloidal particles
will start to sinter onto the substrate. The sintering process will
thus increase mechanical stability of these gradients considerably,
and by controlling the sintering conditions, the morphology of
the particles may be changed in a predictable way. Figure 6
shows the influence of such a sintering treatment on the evolution
of the particle morphology. During the heat-treatment step, the
temperature was always maintained at the chosen sintering
temperature for 2 h. Surface diffusion in the silica nanoparticles
was activated at temperatures around 1100 °C. Below 1075 °C,
there was no evidence of sintering and the particles remained in
a spherical shape on the surface. With increasing temperature,
the particles began to sinter to the surface. At 1100 and 1125 °C,
the effects are not as dominant, but a slight "neck formation"
was already evident. This neck formation is typical for the onset
of the sintering process and is associated with the diffusion of
surface atoms from the colloidal particle to the contact region
of the particle with the substrate. The surface diffusion of atoms
alone will not lead to shrinkage of the particles as observed for
temperatures higher than 1150 °C. At these temperatures, other
diffusion mechanisms are also active, such as volume diffusion
(matter is transported from the bulk of the particle to the neck
region) and diffusion along the particle—substrate interface. Both
of these diffusion-transport mechanisms are responsible for the
shrinkage of the particles at higher temperatures. The driving
force for these sintering/diffusion processes is related to the
minimization of the free surface energy that can be achieved as
the spherical particles gradually minimize their surface area.
The end point of this process is reached for temperatures above
1200 °C, where the particles lose their shape and completely
diffuse into the surface. Figure 6 illustrates these steps and
depending on the sintering conditions, the morphology of the
particle gradient can be tailored. In Figure 7, the apparent contact
angle of the particle with respect to the surface as determined
from SEM image analysis is plotted versus the sintering
temperature. A linear relation between the two is found, which
allows the precise tuning of the morphology of our particle
gradients. Corresponding to this change in contact angle, a change
in the height of the colloidal particles is observed. The particle
height changes in a similar way as the contact angle. At low
temperatures, the particle height is equal to the particle diameter
coverage (at position 0 cm) is taken within the area below the
"immersion mark" (see Figure 3) and is therefore a little higher
than one would expect from the extrapolation of the other values.
This first value can be considered to be the particle coverage
near the jamming limit and was found in this work to be in the
range of 32–37%. This value is considerably lower than the
theoretical value of 54.7% found in RSA-models. However, it
must be remembered that in this theoretical model, no electric
double layer is taken into account, which must be added to the
particle diameter. It must also be stressed that the adsorption
process of such small particles is influenced by many other factors,
such as Brownian motion, the exact nature of the polymeric
adlayer (bridging effects, mobility of particles on a polymeric
adlayer), surface charge distribution, and others, and thus, it is
not possible to attribute the adsorption process to a simple RSA-
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and decreases with increasing sintering time. At high temperatures (above 1200 °C), the particle height decreases to 0 and the topography pattern vanishes. Thus, the heat treatment is an effective way of changing the particle topography on the gradient from large, spherical particles to small “hill-like” structures at higher temperatures.

Beside the adjustability of the morphology of the particle gradients that is offered by this sintering process, the mechanical stability is increased drastically: the particles are no longer simply adsorbed but are firmly sintered onto the surface. This fact makes these gradients also useful for several applications for which particle arrays were not previously suited, for example in adhesion and friction studies or as templates in replication techniques.26

Choosing sintering conditions such that the contact angle of the particles is below 90° (particles appear as half-spheres on the sample) allows such samples to be used as templates since no “undercutting” during a replication process (casting in PDMS, for example) would be observed.

A first example of how such particle gradients can be useful is shown in Figure 8. Fluorescent labeling of the actin filaments and the nuclei of these cells is shown in the top two fluorescence microscopy images. It is observed that cell adhesion and spreading is largely reduced in areas where the density of particles on the surface is high (left side of fluorescence images). With decreasing particle density, the number of cells growing on the substrate increases significantly and reaches the highest number in the areas where no particles were on the surface (on the right-hand side of the fluorescence images). The graph in Figure 8 quantifies these results and indeed an inverse dependence of cell number on particle density is found. These are initial, promising results from cell biology experiments carried out with this kind of colloidal gradients and more detailed studies are currently underway.27 This experiment is a good example of how such nanomorphology gradient surfaces will help in rapid screening tests and how combinatorial and diagnostic studies can be performed on a single sample in the future. The techniques presented here may help in fabricating suitable particle gradients for these kind of applications in a straightforward and customizable way.

Conclusion

Combining the knowledge gained from particle-adsorption experiments as a function of particle concentration, pH, and ionic strength with a dip-coating process led to the development of a colloidal patterning method that allows the production of colloidal gradients with specifically adjustable parameters on a centimeter-length scale. Because of the nature of this process, almost any material combination could be used in a similar way to produce a similar kind of particle gradient provided that the adsorption kinetics are known and can be controlled as shown here. In this work, silica nanoparticles were assembled into a gradient on a silicon wafer, but no significant difference would be expected if the substrate material were changed to a different metal oxide, provided that appropriate modifications are made to account for differences in IEPs, adsorption kinetics, and sintering temperatures.

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The subsequent sintering process proved to be an efficient way of altering the morphology of the colloidal gradients. The globular shape of the adsorbed particles can be continuously changed as a function of the exact sintering conditions. Furthermore, this heat treatment provides a route to stabilize the colloidal array on the surface. Thus, mechanically stable, topographical gradients were produced using a versatile technique that may find applications in various fields because of their tunable properties.

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